

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		Attorney Docket No. <b>04P02857</b> U.S. Application No. <b>10/524429</b>
INTERNATIONAL APPLN. NO. <b>PCT/DE2004/002561</b>	INTERNATIONAL FILING DATE <b>November 19, 2004</b>	PRIORITY DATE CLAIMED <b>February 23, 2004</b>
TITLE OF INVENTION: <b>ELECTRODE SYSTEM FOR A HIGH-PRESSURE DISCHARGE LAMP</b>		
APPLICANT(S) FOR DO/EO/US: <b>Anton DAMBACHER, Helmut DAVIDEIT and Klaus MEYER</b>		
Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau. See attached PCT/IB/308.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made, however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))</li> <li>10. <input type="checkbox"/> A Declaration of Inventorship for purposes of U.S.A. designation pursuant to rule 4.17(iv). ))</li> <li>11. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) w/PTO-1449 - <input checked="" type="checkbox"/> Copy of IDS citations.</li> <li>13. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s)).</li> <li>14. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>15. <input checked="" type="checkbox"/> An Application Data Sheet under 37 C.F.R. 1.76.</li> <li>16. <input checked="" type="checkbox"/> Itemized Return Receipt Postcard</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input type="checkbox"/> Power of Attorney and Statement under 37 CFR §3.73(b) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed Power of Attorney</li> <li>b. <input type="checkbox"/> A change of Power of Attorney and/or change of address letter.</li> </ol> </li> <li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>21. <input type="checkbox"/> A second copy of the English language translation of the international application (35 U.S.C. 154(d)(4)).</li> <li>22. <input checked="" type="checkbox"/> Other items or information: <b>Form PCT/IB/301, Form PCT/RO/101</b></li> </ol>		

U.S. APPLICATION NO. <b>10/524429</b>		INTERNATIONAL APPLN. NO. PCT/DE2004/002561		ATTORNEY DOCKET NO. 04P02857	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <input checked="" type="checkbox"/> The following fees are submitted:  <b>PCT FEES - NATIONAL STAGE</b>					<b>CALCULATIONS PTO USE ONLY</b>
<b>Large Entity</b>		<b>Small Entity</b>		<b>Fee Description</b>	
<b>Fee Code</b>	<b>Fee (\$)</b>	<b>Fee Code</b>	<b>Fee (\$)</b>		
1631	300.00	2631	150.00	Basic National Stage Fee	
1632	500.00	2632	250.00	National Stage Search Fee	
1633	200.00	2633	100.00	National Stage Examination Fee	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20- <input type="checkbox"/> 30 months from the earliest claimed priority date (Fee Code 1617/2617)					\$0.00
<b>SIZE FEE</b> Plant size fee each additional 50 sheets in excess of 100  Fee Code 1681/2681		<b>Additional Sheets</b> 38 - 100 = <u>50</u> X		Fee From Below <b>\$250.00</b>	\$0.00
<b>CLAIMS</b>		<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>	
Independent Claims Fee Codes 1614 / 2614		1 - 3 =		x \$200.00	\$0.00
Total Claims Fee Codes 1615 / 2615		20 - 20 =		x \$50.00	\$0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable) Fee Code 1616 / 2616				+ \$360.00	\$0.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). Fee Code 1618					\$0.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). (Fee code 8021) \$40.00 per property +					\$40.00
<b>TOTAL FEES ENCLOSED =</b>					<b>\$1,040.00</b>
					Amount to be refunded: \$
					Charged: \$

☒ A check in the amount of **\$1,040.00** to cover the above fees is attached.

☒ The Director is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account No. 25-0120 in the name of Young & Thompson. A duplicate copy of this sheet is enclosed.

SEND ALL CORRESPONDENCE TO:  
 OSRAM SYLVANIA  
 100 Endicot Street  
 Danvers, MA 01923  
 Telephone (703) 521-2297

Customer No. **24,252**

BC/at

*Benoit Castel*  
 SIGNATURE

Benoit Castel, Reg. No. 35,041  
 NAME, REGISTRATION NUMBER

February 15, 2005  
 DATE

10/524429

04P02857

INT. APPLN. # PCT/DE2004/002561

Docket No. 5504-4269 (np)

**ATTACHED IS A NEW NATIONAL PHASE APPLICATION OF**

INVENTOR(S): Anton DAMBACHER, Helmut DAVIDEIT and Klaus MEYER

TITLE: ELECTRODE SYSTEM FOR A HIGH-PRESSURE DISCHARGE LAMP

FILING DATE: February 15, 2005

CONSISTING OF: ☒ Transmittal Letter (PTO-1390) ☐ SMALL ENTITY CLAIM

☒ Published Application (Non-English) ☒ English Language Translation

**30** Total pages consisting of 25 Pages of Description, 4 Pages of Claims

**8** Sheets Of Drawings and 1 Page(s) Abstract

☒ Application Data Sheet

☒ Preliminary Amendment

☒ Executed declaration (1)

☐ Paper Sequence Listing

☐ Not. of Sub. of Sequence Listing

☐ International Preliminary Examination Report (PCT/IPEA/409)

☐ Amended sheets w/IPER

☒ Info. Disclosure Statement w/1449

☒ 3 Cited References

☐ PCT/IB/308

☐ Coversheet of Publication

☒ Exec. Assignment w/PTO-1595

☐ Computer readable Seq. List. (diskette)

☐ Article 19 Amendments

☐ International Search Report

☒ Check # 31433 for \$1,040.00

Other: Form PCT/IB/301 and Form PCT/RO/101

USPTO - PLEASE STAMP RECEIPT DATE AND APPLICATION NUMBER

Actual due date: 8/23/2006

BC/at

DT07 Rec'd PCT/PTO 15 FEB 2005